

Proposal to amend Appendix 10 to the GCU

Record of amendments

| Amended by | Date | Paragraph | Amendment |
|-------------------------------|------------|--|-----------------------------|
| B. Lerche, WG UIC Maintenance | 09/10/2019 | Ann3 App10 wagon damage catalogue-Running gear App12 | Development of the proposal |
| WG UIC Maintenance | 28/04/2020 | Ann3 App10 wagon damage catalogue-Running gear App12 | Final version |
| SG UIC WAGON USERS | 26/05/2020 | Ann3 App10 wagon damage catalogue-Running gear App12 | Approval |
| JC GCU | 15/06/2020 | Ann3 App10 wagon damage catalogue-Running gear App12 | Approval |

| | |
|---|--|
| Title | Transfer of EVIC commercial provisions from Appendix 10 to Appendix 12 GCU |
| Proposed amendment made by: RU/keeper/other: | DB Cargo AG |
| Proposed amendment to: | <input checked="" type="checkbox"/> Appendix 10 |
| Proposer: | WG Maintenance, B. Lerche |
| Location, date: | Frankfurt, 09/10/2019, |
| Concise description: | Transfer of EVIC commercial provisions from Appendix 10 to Appendix 12 GCU |

1. Starting point (current situation):

| |
|--|
| 1.1. Introduction |
| |
| 1.2. Mode of operation |
| - |
| 1.3. Anomaly/description of problem |
| |

1.4. Does this concern a recognised code of practice* (e.g. DIN, EN)?

No Yes (state which):

* "a written set of rules that, when correctly applied, can be used to control one or more specific hazards." (source: Regulation (source: Regulation EC 352/2009, Article 3)

"Technical provisions laid down in writing or conveyed verbally and pertaining to procedures, equipment and modes of operation which are generally agreed by the populations concerned (specialists, users, consumer and public authorities) to be suitable for achieving the objective prescribed by law, and which have either proven their worth in practice or, it is generally agreed, are likely to within a reasonable period of time". (source: BMJ Handbuch der Rechtsförmlichkeit – guide published by German Ministry of Justice)

2. Target situation

| |
|--|
| 2.1. Elimination of anomaly/problem (solution sought) |
| |

3. Additional text and/or change relates to proposed amendments to GCU Appendix 10 and 12

Amendment colour code:

Black: Current text, for info and remains unchanged

Red: new text

Blue: (if crossed out): text to be deleted

Appendix 10 - Annex 3:

European Visual Inspection Catalogue (EVIC) for Wheelsets

Preamble

~~The cost of the visual inspection of axles according to Chapters A and B of the present Appendix shall be borne by the keeper of the wagon inspected~~

Implementation guide for the EUROPEAN VISUAL INSPECTION CATALOGUE (EVIC) FOR FREIGHT WAGON AXLES

2. BASICS

2.1 Mandating and invoicing the EVIC inspection

~~The keeper must take over the costs for executing the EVIC and potentially for a required change of the wheelset.~~

APPENDIX 12

TO THE GENERAL CONTRACT OF USE

CATALOGUE OF DAMAGE TO WAGONS

| Category | Part | Type of damage | Additional information | Responsability of | |
|--------------|----------|----------------|--|-------------------|---------|
| | | | | Keeper | User RU |
| Running gear | | | | | |
| | Wheelset | | Inspection in accordance with Appendix 10, Annex 3 EUROPEAN VISUAL INSPECTION CATALOGUE (EVIC) FOR FREIGHT WAGON AXLES, including replacement of the wheelsets | X | |

4. Reason:

5. Assessment of potential positive/negative impacts

Assess the possible positive and negative effects (operations, costs, administration, interoperability, safety, competitiveness, etc.) on a scale of 1 (very low) to 5 (very high):

Reasoning behind amendment:

Positive effects:

Impact on costs/administration/interoperability/safety/competitiveness

6. Safety appraisal of proposed amendment

Description of actual/target system, and scope of change to be made (see points 1 and 2).

The risk study becomes obsolete insofar as only the known repositories are implemented

Safety study conducted by:

| | |
|---|---|
| 6.1. Does the change have an impact on safety? | <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes |
| Reason: | |
| 6.2. Is the change significant? | <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes |
| Reason: | |
| 6.3. Determining and classifying risk | <input checked="" type="checkbox"/> N/A |
| 6.3.1. Effect of change in normal operation: | |
| 6.3.2. Effect of change in the event of disruption/deviation from normal operation: | |
| 6.3.3. Potential misuse of system: | |
| <input type="checkbox"/> No | |
| <input type="checkbox"/> Yes (describe possible misuse): | |
| 6.4. Have safety measures been applied? | <input type="checkbox"/> No <input type="checkbox"/> Yes |
| For each type of risk, one of the following risk acceptance criteria is to be selected: | |
| <ul style="list-style-type: none"> • Code of practice • Use of reference system • Explicit risk assessment | |
| 6.5. Has a risk analysis been submitted to the assessment body? | <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes |
| Assessment body: | |
| Attach the verdict reached by the assessment body | [Appendix] |