

Amendment proposal to of Appendix 9 to the GCU

Record of amendments

Amended by	Date	Paragraph	Amendment
Philippe BERRIO	01/12/2025	App1, 6.5.6.3 and 7.6.5.3	Drafting
TTI WG decision	10-11/03/2026	App1, 6.5.6.3 and 7.6.5.3	Updated and approved by TTI WG meeting of March 2026
Feedback loop TTI WG after UIC WU SG, UIP and ERFA meetings	12/05/2026 19/06/2026 07/07/2026	App1, 6.5.6.3 and 7.6.5.3	Approved by UIC Approved by UIP Non approved by UIP Adapted and approved
GCU JC decision	15/09/2026	App1, 6.5.6.3 and 7.6.5.3	Approved by GCU JC

Title	Amendments to 6.5.6.3 and 7.6.5.3. Reinforcement, filling and emptying equipment, above.
Proposed amendment made by: RU/keeper/other	Hexafret
Proposed amendment to:	☒ Appendix 9 GCU ☐ Appendix 11 GCU
Proposer:	Philippe BERRIO
Location, date:	01/12/2025 in Hendaye (France)
Concise description:	Addition of the term “cap” for irregularity codes 6.5.6.3 and 7.6.5.3.

1. Starting point (current situation):

1.1. Introduction
Appendix 9 of the GCU for irregularity codes 6.5.6.3 and 7.6.5.3 defines the term “cover”. The RID distinguishes between the “cover” and the “cap”.
1.2. Mode of operation
Add the term “cap” for each of the irregularity codes concerned.
1.3. Anomaly/description of problem
The term “cap” is not included in Appendix 9 of the GCU.
1.4. Does this concern a recognised code of practice* (e.g. DIN, EN)?
☐ No ☒ Yes (state which): RID * “a written set of rules that, when correctly applied, can be used to control one or more specific hazards.” (Source: Regulation EC 402/2013, Article 3) “Technical provisions laid down in writing or conveyed verbally and pertaining to procedures, equipment and modes of operation which are generally agreed by the populations concerned (specialists, users, consumer and public authorities) to be suitable for achieving the objective prescribed by law, and which have either proven their worth in practice or, it is generally agreed, are likely to within a reasonable period of time”. (Source: BMJ Handbuch der Rechtsförmlichkeit – guide published by German Ministry of Justice)

2. Target situation

2.1. Elimination of anomaly/problem (goal)
Amend Appendix 9 of the GCU by adding the term “cap”.

3. Amendments/additional text (relates only to proposed amendments to GCU Appendix 9):

Amendment colour code:

Black: Current text, for info and remains unchanged

Red: new text

Blue: (if crossed out): text to be deleted

Component	Code no.	Irregularities/Criteria/Notes	Action to be taken	Irregularity class
Reinforcement, filling equipment, above	6.5.6.3	Other upper reinforcements or cap not closed	Close or have closed. If not possible, detach wagon ^(D)	4

Component	Code no.	Irregularities/Criteria/Notes	Action to be taken	Irregularity class
Reinforcement, filling and emptying equipment, above	7.6.5.3	Other upper reinforcements or cap not closed	Close or have closed. If not possible, detach wagon ^(B)	4

4. Reason:

Lack of precision in Appendix 9 of the GCU with regard to the RID.

5. Assess potential positive/negative impacts
<i>Assess the possible positive and negative effects (operations, costs, administration, interoperability, safety, competitiveness, etc.) on a scale of 1 (very low) to 5 (very high).</i> <i>Reasoning behind amendment:</i> Impacts: Operations, interoperability, competitiveness, cost, management (value: 3), safety (value: 4)

6. Safety appraisal of proposed amendment

Description of actual/target system, and scope of change to be made (see points 1 and 2).

Performance of risk analysis is unnecessary where only recognised standards are implemented.

Risk analysis conducted by: Not applicable

6.1 Does the change have an impact on safety?	☐ No ☒ Yes
Reason:	
6.2 Is the change significant?	☒ No ☐ Yes
Reason: see template. Attach the "significant change" test template.	
6.3 Determining and classifying risk:	☒ N/A
6.3.1 Effect of change in normal operation: 6.3.2 Effect of change in the event of disruption/deviation from normal operation: 6.3.3 Potential misuse of system: ☐ No ☐ Yes (describe possible misuse):	
6.4 Have safety measures been applied?	☐ No ☒ Yes
<i>For each type of risk, one of the following risk acceptance criteria is to be selected:</i> <i>Code of practice</i> <i>Use of reference system</i> <i>Explicit risk assessment</i>	
6.5 Has a risk analysis been submitted to the assessment body?	☒ No ☐ Yes
Assessment body: Attach the verdict reached by the assessment body:	